Stabilization of Type-2 Fuzzy Takagi-Sugeno-Kang Identifier Using Lyapunov Functions

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Abstract—Differing from previous studies, where sliding mode control theory-based rules are proposed for only the consequent part of the network, the developed algorithm in this paper applies fully sliding mode parameter update rules for both the premise and consequent parts of the interval type-2 fuzzy neural networks. The stability of the proposed learning algorithm has been proved by using an appropriate Lyapunov function. Then, the performance of the proposed learning algorithm is tested on the identification of wing flutter data set available online as a benchmark system and the prediction of Mackey-Glass chaotic system. The simulation results indicate that the proposed algorithm is significantly faster than the gradient-based methods as well as providing a slightly better identification performance. The reason for the fast convergence is that the proposed parameter update rules do not have any matrix manipulations which makes them simple to be implemented in real-time systems. In addition, the responsible parameter for sharing the contributions of the lower and upper parts of the type-2 fuzzy membership functions is also tuned. Another prominent feature of the proposed learning algorithm is to have a closed form which makes it easier to implement than the other existing learning methods, e.g. gradient-based methods.

Index Terms—Type-2 fuzzy neural networks, sliding mode learning algorithm, nonlinear system identification.

I. INTRODUCTION

Type-2 fuzzy logic systems (T2FLSs) are proposed as the extensions of type-1 fuzzy logic systems (T1FLSs) in order to be able to model uncertainties that invariably exist in the rule base of the system and deal with noise [1]–[4]. Whereas membership functions (MFs) are totally certain in type-1 fuzzy sets, they are themselves fuzzy in type-2 fuzzy sets. The latter case results in a fact that the antecedent and consequent parts of the rules are uncertain. As there are infinite type-1 fuzzy MFs in the footprint of uncertainty of a type-2 fuzzy MF, it is believed that the T2FLSs have the ability of modeling uncertainties in the rule base better than their type-1 counterparts. Therefore, T2FLSs appear to be a more promising method for handling uncertainties such as noisy data and variable working conditions both in modeling and control purposes [5].

Fuzzy neural networks (FNNs) are shown to be more powerful tools that combine the capability of fuzzy reasoning to handle uncertain information and the capability of artificial neural networks (ANNs) to learn from input-output data sets in modeling nonlinear dynamic systems. There are number of algorithms for the training of FNNs in literature. For instance, whereas the gradient descent (GD) algorithm is a well-known optimization method to tune the parameters of both ANNs and FNNs [6], there are some drawbacks in this algorithm. The most important issue is that the selection of smaller learning rate is critical in GD algorithm as the learning can get stuck in a local minima. Moreover, some instability issues may occur if the value of learning rate is chosen too large. Especially, in FNNs, another issue for a GD algorithm is that the obtaining of the parameter update rules for the premise part of the network is very complex. As an alternative to GD-based methods, the use of evolutionary approaches has also been suggested [7]. However, as these methods have some stochastic operators such as mutation, crossover, etc., it is almost impossible to show the stability of learning. Furthermore, there is no analytical way to choose the optimal parameters of the aforementioned stochastic operators.

In order to cope with the mentioned issues above, sliding mode control (SMC) theory-based algorithms are derived to tune the parameters of ANNs and type-1 FNNs (T1FNNs) [8], [9]. As SMC theory-based learning algorithms do not need any partial derivatives and matrix manipulations in the adaptation laws [10], [11], they are computationally more efficient than the traditional learning techniques in online tuning of ANNs and FNNs [12], [13]. Thus, the parameter update rules are much simpler when compared to other algorithms, such as GD-based methods. Furthermore, since SMC theory-based learning algorithms benefit from mathematical stability analysis, they are more robust against the parameter uncertainties in the system. Motivated by the successful results of these learning algorithms in T1FNNs, similar derivations for the training of type-2 FNNs (T2FNNs) are also proposed [14], [15].

In this paper, the major contributions to the T2FNNs are as follows: the first is the proposal of fully SMC theory-based learning rules whereas all similar studies in literature consider SMC theory-based rules for only limited number of parameters. For instance, the parameter update rules for the center values of the type-2 fuzzy MFs in [14] do not have...
SMC theory-based rules. The second contribution of this paper is that the proposed algorithm tunes the sharing of the lower and upper MFs in a T2FNN which allows us to manage non-uniform uncertainties in the rule base of T2FLSs.

II. TYPE-2 FUZZY LOGIC SYSTEMS (T2FLSs)

A. T2FLSs Overview

A first-order interval type-2 Takagi-Sugeno-Kang (TSK) fuzzy if-then rule base with I input variables is preferred in this investigation. Where the consequent parts are crisp numbers, the premise parts are type-2 fuzzy functions. The $r^{th}$ rule is as follows:

$$\text{If } x_1 \text{ is } \tilde{A}_{1j} \ldots \text{ and } x_i \text{ is } \tilde{A}_{ik} \text{ and } \ldots \text{ and } x_j \text{ is } \tilde{A}_{lj} \text{ then}$$

$$f_r = \sum_{i=1}^{I} a_{ri} x_i + b_r \quad (1)$$

where $x_i (i = 1 \ldots I)$ are the inputs of the type-2 TSK model, $\tilde{A}_{ik}$ is the $k^{th}$ type-2 fuzzy MF ($k = 1 \ldots K$) corresponding to the input $i^{th}$ variable, $K$ is the number of MFs for the $i^{th}$ input which can be different for each input. The parameters $a_{ri}$ and $b_r$ stand for the consequent part and $f_r(r = 1 \ldots N)$ is the output function.

The upper and lower type-2 fuzzy Gaussian MFs with an uncertain standard deviation (Fig. 1) can be represented as follows:

$$\mu_{ik}(x_i) = \exp \left( -\frac{1}{2} \frac{(x_i - c_{ik})^2}{\sigma_{ik}^2} \right)$$

$$\tilde{\mu}_{ik}(x_i) = \exp \left( -\frac{1}{2} \frac{(x_i - c_{ik})^2}{\sigma_{ik}^2} \right) \quad (2)$$

where $c_{ik}$ is the center value of the $k^{th}$ type-2 fuzzy set for the $i^{th}$ input. The parameters $\sigma_{ik}$ and $\sigma_{ik}$ are standard deviations for the upper and lower MFs.

![Fig. 1: Type-2 Gaussian fuzzy MF with uncertain standard deviation](image)

B. Interval Type-2 A2-C0 TSK Model

The structure used in this investigation is called A2-C0 fuzzy system [16]. In such a structure, the lower and upper membership degrees $\mu$ and $\tilde{\mu}$ are determined for each input signal being fed to the system. Next, the firing strengths of the rules using the $\text{prod}$ t-norm operator are calculated as follows:

$$w_r = \mu_{A_{11}}(x_1) \cdot \mu_{A_{12}}(x_2) \cdot \ldots \cdot \mu_{A_{1I}}(x_I)$$

$$\tilde{w}_r = \tilde{\mu}_{A_{11}}(x_1) \cdot \tilde{\mu}_{A_{12}}(x_2) \cdot \ldots \cdot \tilde{\mu}_{A_{1I}}(x_I) \quad (4)$$

The consequent part corresponding to each fuzzy rule is a linear combination of the inputs $x_1, x_2, \ldots, x_I$. This linear function is called $f_r$ and is defined as in (1). The output of the network is calculated as follows:

$$y_N = q \sum_{r=1}^{N} f_r \tilde{w}_r + (1 - q) \sum_{r=1}^{N} f_r w_r \quad (5)$$

where $\tilde{w}_r$ and $w_r$ are the normalized values of the lower and the upper output signals from the second hidden layer of the network as follows:

$$\tilde{w}_r = \frac{w_r}{\sum_{r=1}^{N} w_r} \quad \text{and} \quad w_r = \frac{w_r}{\sum_{r=1}^{N} \tilde{w}_r} \quad (6)$$

The design parameter, $q$, weights the sharing of the lower and upper firing levels of each fired rule. This parameter can be a constant (equal to 0.5 in most cases) or a time varying parameter. In this investigation, the latter is preferred. In other words, the parameter update rules and the proof of the stability of the learning process are given for the case of an adaptable $q$.

The following vectors can be specified:

$$\tilde{W}(t) = [\tilde{w}_1(t) \ w_1(t) \ w_2(t) \ w_N(t)]^T, \quad \tilde{W}(t) = [\tilde{w}_1(t) \ w_1(t) \ w_2(t) \ w_N(t)]^T \quad \text{and} \quad F = [f_1 \ f_2 \ldots f_N]$$

The following assumptions have been made in this investigation: The time derivative of both the input signals and output signal can be considered bounded:

$$|\dot{x}_i(t)| \leq B_{x_i}, \quad \text{min}(x_i^2(t)) = B_{x_i^2}, \quad (i = 1 \ldots I) \quad \text{and} \quad |\dot{y}(t)| \leq B_y \quad \forall t \quad (7)$$

where $B_{x_i}, B_{x_i^2}$ and $B_y$ are assumed to be some known positive constants.

III. SLIDING MODE CONTROL THEORY-BASED LEARNING ALGORITHM

The zero value of the learning error coordinate can be defined as a time-varying sliding surface in (8). The condition defined in (8) guarantees that when the system is on the sliding surface, the output of the network, $y_N(t)$, will perfectly follow the desired output signal, $y(t)$, for all time $t > t_b$. The time instant $t_b$ is defined to be the reaching time for being $e(t) = 0$.

$$S(e(t)) = e(t) = y_N(t) - y(t) = 0 \quad (8)$$

**Definition:** A sliding motion will appear on the sliding manifold $S(e(t)) = e(t) = 0$ after a time $t_b$, if the condition $S(t)S(t) < 0$ is satisfied for all $t$ in some nontrivial semi-open subinterval of time of the form $[t, t_b) \subset (0, t_b)$. 

![image](image)
The parameter update rules for the T2FNN proposed in this paper are given by the following theorem.

**Theorem 1:** If the adaptation laws for the parameters of the considered T2FNN are chosen as:

\[ \dot{c}_{ik} = x_i + (x_i - c_{ik}) \alpha_i \text{sgn}(e) \]  

(9)

\[ \dot{\sigma}_{ik} = -\left( \frac{\sigma_{ik} + (\sigma_{ik})^3}{(x_i - c_{ik})^2} \right) \alpha_i \text{sgn}(e) \]  

(10)

\[ \dot{\bar{e}}_{ik} = -\left( \frac{\bar{e}_{ik} + (\bar{e}_{ik})^3}{(x_i - c_{ik})^2} \right) \alpha_i \text{sgn}(e) \]  

(11)

\[ d_{ria} = -x_i \frac{q \tilde{w}_r + (1 - q) \tilde{w}_t}{(q \tilde{w}_r + (1 - q) \tilde{w}_t)^2 (q \tilde{w}_r + (1 - q) \tilde{w}_t)} \alpha \text{sgn}(e) \]  

(12)

\[ b_{ri} = -\frac{q \tilde{w}_r + (1 - q) \tilde{w}_t}{(q \tilde{w}_r + (1 - q) \tilde{w}_t)^2 (q \tilde{w}_r + (1 - q) \tilde{w}_t)} \alpha \text{sgn}(e) \]  

(13)

\[ \dot{q} = -\frac{1}{F} \frac{\alpha \text{sgn}(e)}{(\tilde{W} - \tilde{W})^T} \]  

(14)

where \( \alpha \) is taken as follows:

\[ \alpha \geq \frac{(1B_0B_2 + B_1)}{2 + 1B_0^2} \]  

(15)

then, given an arbitrary initial condition \( e(0) \), the learning error \( e(t) \) will converge to zero within a finite time \( t_H \).

**Proof:** The reader is referred to Appendix.

**Remark:** Since the output of the T2FNN is quite sensitive to the changes in the parameters of the antecedent parts, different values for the learning rates of the antecedent and consequent part parameters are used. In other words, a smaller value (\( \alpha_i \)) is chosen for the antecedent parts.

**IV. SIMULATION STUDIES**

A system identification process is the finding of a mathematical relationship between the input and the output of the system. In this study, time delayed inputs and outputs of the system are fed into the identifier. The SMC theory-based learning algorithm is used to estimate the parameters of the T2FNN structure that the difference between the plant output \( y(k) \) and the identifier output \( y_N(k) \) will be minimum for all input values of \( u(k) \).

As a performance criterion in the simulation studies, the root-mean-squared-error (RMSE) given in (16) is used:

\[ \text{RMSE} = \sqrt{\frac{\sum_{k=1}^{K} (y(k) - y_N(k))^2}{K}} \]  

(16)

where \( K \) is the number of samples.

It is to be noted that even if the network structure is the same with the one in [17], the proposed learning rules in this investigation are completely novel and fully sliding mode. In all the examples in this section, the network is designed with three inputs and one output. The inputs of the identifier are the input signal to the plant and the two delayed signals from the plant output with a discretization period \( T_c \) of 1ms. Each input is fuzzified by using three Gaussian type-2 fuzzy MFs with a fixed center and uncertain standard deviation. To be able to make a fair comparison, each experiment has been realized for ten times with a random initialization of the network parameters, and the average numbers are given in the paper.

**A. Example 1: Wing flutter data set**

To compare the identification performance of both the proposed learning algorithm and the conventional GD method, an existing benchmark data set is used: wing flutter data set available online [18]. Whereas Fig. 3(a) demonstrates the output of the model and the real-time system for the training data only, Fig. 3(b) shows the response of the system for the test data. RMSE values versus epoch number which indicates a stable learning with the proposed learning algorithm is also presented in Fig. 3(c). As can been from these figures, the T2FNN gives accurate modeling results. Thanks to the novel fully sliding mode parameter update rules in this paper, the presented results are significantly better when compared to the ones in [19]. In Fig. 3(d), the adaptation of the parameter \( q \) is presented which is also learnt by the proposed algorithm. By doing so, the contributions of the upper and lower MFs are also tuned during the simulations.

**B. Example 2: Prediction of chaotic Mackey-Glass time series**

As another comparison for the identification performance of both the proposed learning algorithm and conventional GD method, one more existing benchmark system is used: Mackey-Glass chaotic system. Both algorithms are used to predict the noisy chaotic Mackey-Glass time series. This chaotic system is a well-known benchmark problem in the literature described by the following dynamic equation [20]:

\[ \dot{x}(t) = 0.2 \frac{x(t - \tau)}{1 + x^{10}(t - \tau)} - 0.1x(t) \]  

(17)
The numerical values selected for the chaotic system above are $\tau = 17$, $x(0) = 1.2$ in this study.

The predictor goal is to predict $x(t+1)$ using the inputs $x(t-3), x(t-2), x(t-1)$ and $x(t)$. For each input, two MFs are used. The number of training data is selected as 600, and the number of test data is 476. As the measure of noise level, signal to noise ratio (SNR) is used.

Figure 3 shows the convergence graphs of the proposed learning algorithm for Mackey-Glass time series in the presence of white noise (SNR=50dB). As can be seen from these figures, the convergence performance of the proposed method is quite satisfactory even under noisy conditions.

![Figure 3: The output of the model and the T2FNN for the training data](image)

(a) The output of the model and the T2FNN for the training data (b) RMSE versus epoch number (c) The adaptation of the parameter $q$ (d) for the Mackey-Glass system

V. ANALYSIS AND DISCUSSION

In Tables I and II, the comparison of GD technique and the proposed SMC theory-based learning method is given with respect to their identification performance and computation time. It can be seen that the identification performance of the proposed learning algorithm is slightly better than GD. Moreover, the computation time of the proposed SMC theory-based learning algorithm is significantly lower than the GD method. This conclusion results in a fact that the proposed method in this paper is more practical in real-time applications.

GD method includes the calculations of the partial derivatives of the output with respect to the parameters which is a very difficult task, and do not have any closed (explicit) form. This feature brings a lot of difficulties during the coding of the GD method. On the other hand, as can be seen from the parameter update rules proposed in this paper, the algorithm has a closed form. This results in a simpler and easier to debug the coding process of the proposed identifier.

| TABLE I: Comparison of different learning techniques for wing flutter data set |
|---------------------------------|------------|-------------|
| Performance                     | Training   | Testing     | Computation time (s) |
| SMC-based learning              | 0.0135     | 0.0036      | 56.03                |
| GD                              | 0.0361     | 0.0112      | 77.10                |

| TABLE II: Comparison of different learning techniques for chaotic Mackey-Glass system |
|---------------------------------|------------|-------------|
| Performance                     | Training   | Testing     | Computation time (s) |
| SMC-based learning              | 0.0207     | 0.0225      | 47.80                |
| GD                              | 0.0297     | 0.1745      | 67.48                |

VI. CONCLUSIONS

A novel fully sliding mode parameter update rules have been proposed for the training of interval T2FNNs for the identification of a real-time wing flutter data set and the prediction of chaotic Mackey-Glass time series. The simulation results are promising for the potential of the proposed structure in real time systems since the computation time of the proposed algorithm is significantly lower than the GD method. The reason for the fast convergence is that the proposed parameter update rules do not have any matrix manipulations which makes them simple to be implemented in real-time systems. It is to be noted that these parameter update rules can also be used for the control purposes in which the computation time is prominent.

APPENDIX A

PROOF OF THEOREM 1

The time derivative of (6) is calculated as follows:

$$\dot{\hat{w}}_r = -\tilde{w}_r K_r + \tilde{w}_r \sum_{i=1}^{N} \tilde{w}_i K_i \hat{r}_f; \quad \tilde{\pi}_r = -\tilde{\pi}_r K_r + \tilde{\pi}_r \sum_{i=1}^{N} \tilde{\pi}_i K_i \quad (18)$$

where

$$A_{ik} = \frac{x_i - c_{ik}}{\sigma_{ik}} \quad \text{and} \quad \tilde{A}_{ik} = \frac{x_i - c_{ik}}{\tilde{\sigma}_{ik}}$$

$$K_r = \sum_{i=1}^{I} \tilde{A}_{ik} \tilde{A}_{ik} \quad \text{and} \quad \tilde{K}_r = \sum_{i=1}^{I} \tilde{A}_{ik} \tilde{A}_{ik}$$

If (9)-(11) are inserted into the equations above, (19) can be obtained:

$$\tilde{K}_r = \tilde{K}_r = \sum_{i=1}^{I} \tilde{A}_{ik} \tilde{A}_{ik} \quad \text{and} \quad \tilde{K}_r = \sum_{i=1}^{I} \tilde{A}_{ik} \tilde{A}_{ik} = I \text{sgn} (e) \quad (19)$$

By using the following Lyapunov function, the stability condition is checked as follows:

$$V = \frac{1}{2} e^T e \quad (20)$$
The time derivative of (20) can be calculated as follows:

\[ V = \dot{e} = e(\dot{y}_N - \dot{y}) \] (21)

Differentiating (5), the following term can be obtained:

\[
\dot{y}_N = q \sum_{r=1}^{N} f_r \hat{w}_r + q \sum_{r=1}^{N} (f_r \hat{w}_r + f_r \hat{w}_r) - q \sum_{r=1}^{N} f_r \hat{w}_r \]

\[ + (1 - q) \sum_{r=1}^{N} (f_r \hat{w}_r + f_r \hat{w}_r) \] (22)

By using (18), (19) and (22), the following term can be obtained:

\[
\dot{y}_N = q \sum_{r=1}^{N} f_r \hat{w}_r + q \sum_{r=1}^{N} (f_r \hat{w}_r + f_r (-\hat{w}_r K_r + \hat{w}_r \sum_{r=1}^{N} \hat{w}_r K_r)) \]

\[ - q \sum_{r=1}^{N} f_r \hat{w}_r \]

\[ + (1 - q) \sum_{r=1}^{N} (f_r \hat{w}_r + f_r (-\hat{w}_r K_r + \hat{w}_r \sum_{r=1}^{N} \hat{w}_r K_r)) \]

\[ = q \sum_{r=1}^{N} f_r \hat{w}_r + q \sum_{r=1}^{N} (f_r \hat{w}_r - I \text{sgn}(e) f_r (\hat{w}_r - \hat{w}_r \sum_{r=1}^{N} \hat{w}_r)) \]

\[ - q \sum_{r=1}^{N} f_r \hat{w}_r \]

\[ + (1 - q) \sum_{r=1}^{N} (f_r \hat{w}_r - I \text{sgn}(e) f_r (\hat{w}_r - \hat{w}_r \sum_{r=1}^{N} \hat{w}_r)) \] (23)

The following equation is correct by definition:

\[ \sum_{r=1}^{N} \hat{w}_r = 1 \text{ and } \sum_{r=1}^{N} \hat{w}_r = 1 \] (24)

By using (12), (13), (14) and (24), the following term can be achieved:

\[
\dot{y}_N = - \frac{1}{F(\hat{w} - \hat{w})^T} \text{sgn}(e) \sum_{r=1}^{N} f_r (\hat{w}_r - \hat{w}_r) \]

\[ + \sum_{r=1}^{N} f_r (q \hat{w}_r + (1 - q) \hat{w}_r) \]

\[ - \text{sgn}(e) \]

\[ + \sum_{r=1}^{N} \left[ \left( \sum_{i=1}^{I} (a_r \xi_i + a_r \xi_i) + b_r \right) \right] \]

\[ (q \hat{w}_r + (1 - q) \hat{w}_r) \] (25)

If (25) is inserted into the candidate Lyapunov function, (26) can be obtained:

\[
V = e e = e(\dot{y}_N - \dot{y}) \]

\[ = e \left[- \text{sgn}(e) + \sum_{r=1}^{N} \left[ \left( \sum_{i=1}^{I} (a_r \xi_i + a_r \xi_i) + b_r \right) \right] \right] \]

\[ (q \hat{w}_r + (1 - q) \hat{w}_r) - \dot{y} \] (27)
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